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| 10/759,583 | 01/15/2004 | Axel K. Kloth | 022150-2.00US | 8008 |
| 20350 7590 07/19/2007 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 | | | EXAMINER TSAI, TSUNG YIN | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|-------------------------------|--------------------------------|--|
| Office Action Summary | Application No. 10/759,583 | Applicant(s) KLOTH, AXEL K. | |
| | Examiner Tsung-Yin Tsai | Art Unit 2624 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 26 June 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-17 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 14 June 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAIL ACTION

Acknowledge of amendment received on 7/3/2007 and made of record.

Acknowledge of amendment to specification.

Acknowledge of amendment to claims 1 and 9 and the supports for the amended limitation of the claims from the specification.

Acknowledge of addition of claims 16 and 17.

Acknowledge of figure 7 with no changes.

Response to Arguments

Applicant's argument – Amended of specification for paragraph 0033 and 0037 as requested by the Examiner.

Examiner's response – Withdraw of objection of the specification due to amended correction.

Applicant's argument – Withdraw of objection of figure 7.

Examiner's response – Applicant called and clarify objection regarding figure 7. No change are request on the figure 7 anymore, thus, objection to figure 7 is withdrawn.

Applicant's argument – Claims 1-15 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Juvinal (US Patent No. 5,214,713), hereinafter referred to as

Art Unit: 2624

Juvinall, in view of Chen et al. (U.S. Patent No. 5,535,288), hereinafter referred to as

Chen. Applicant respectfully traverses these rejections due to the following reasons:

- Juvinall processes two lines of data at a time and not one frame at a time
- Juvinall fails to teach or suggest claim 1 which recites, in part, " an image processing engine adapted to perform object-independent processing corresponding to a first layer of the image processing system to ~generate a first set of processed data, said image processing engine further adapted to include a plurality of processors each associated with a different one of pixels of the image frame; and a post processing engine adapted to directly receive the first set of processed data and to perform object-dependent processing corresponding to a second processing layer of the image processing system on the received first set of processed data thereby to generate a second set of processed data, said post processing engine further adapted to include an N-way symmetric multi-processing system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1."
- Chen also fails to teach or suggest "a post processing engine adapted to directly receive the first set of processed data and to perform object-dependent processing corresponding to a second processing layer of the image processing system on the received first set of processed data thereby to generate a second set of processed data, said post processing engine further adapted to include an N-way symmetric multi-processing

system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1"

- Claim 9 and its dependent claims 10-16 are allowable over Juvinal in view of Chen for at least the same reasons as is claim 1.

Examiner's response – The combination of Juvinal and Chen teaches that following:

- Juvinal processes the image frame (Further on column 10 lines 3-25 and with addition of figure 9 discloses that it is not necessarily to process the whole image as a whole, but the image is process nevertheless. Applicant is concern regarding processing operation to be improve by pipeline to improve efficieny and viewing the object. Reduction of the data, as taught by Juvinal, to be process will not overload the pipeline and this will improve efficiency of memory storage space and lower require processing power. Reduction of the image mean that the view of field is strictly of the object only and not any of the background data. Figure 9 discloses how the data is selectively removed from the whole image, thus, leaving only the object in view only before processing.)
- Juvinal combine with Chen teaches " an image processing engine (Juvinal. Figure 1 disclose a whole system that does image processing, column 2 lines 10-40 disclose image processing computers) adapted to perform object-independent processing (Juvinal. figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the bottle for processing) corresponding to a first layer of

the image processing system (Juvinall. figure 1 is seen as the first lay of the image processing system, which is the hardware to obtain the image data) to generate a first set of processed data (Juvinall. figure 2 disclose bottle-cap for image processing, this is first set of data that is obtain from the first layer image processing system for processing), said image processing engine (Juvinall. Figure 1 disclose a whole system that does image processing, column 2 lines 10-40 disclose image processing computers) further adapted to include a plurality of processors each associated with a different one of pixels of the image frame (Juvinall. column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, column 2 lines 60-67 disclose where each of the processor receives and operates on one pixel data at a time, thus this is seen as processing a different pixel of the image); and a post processing engine (Juvinall. column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, this is the post processing engine) adapted to directly receive the first set of processed data (Juvinall. column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, where we can see that this array of processor than process the first set of data that is given to them by the master computer that control the control data buses) and to perform object-dependent processing (Juvinall. figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the

bottle for processing) corresponding to a second processing layer (Juvinal. Figure 6 is second processing layer, which are the array of one-bit processors) of the image processing system on the received first set of processed data thereby to generate a second set of processed data (Juvinal. column 2 lines 65-68 discloses where the data is process by the array of processor and return the process data to memory, column 4 lines 55-65 discloses where the data is use for image comparison), said post processing engine (Juvinal. column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, this is the post processing engine) further adapted to include an N-way symmetric multi-processing system (SMP) (Chen teaches Juvinal. figure 6 discloses a symmetric processing system, where the symmetry is of $N=2$, therefore symmetric) having disposed therein N DFT engines (Chen teaches Juvinal. Figure 6 step 2 discloses the symmetric DFT engines) and N matrix multiplication engines (Chen teaches Juvinal. Figure 6 discloses the matrix of multiplication of engines, where the engines are of combining engines, DFT rows, DFT columns, split and multiplying engines in the whole system); wherein N is an integer greater than 1."

- Regarding where Chen also fails to teach or suggest "a post processing engine adapted to directly receive the first set of processed data and to perform object-dependent processing corresponding to a second processing layer of the image processing system on the received first set

of processed data thereby to generate a second set of processed data, said post processing engine further adapted to include an N-way symmetric multi-processing system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1". Juvinal teaches regarding the part of the limitation from "a post processing engine adapted to directly receive the first set of processed data and to perform object-dependent processing corresponding to a second processing layer of the image processing system on the received first set of processed data thereby to generate a second set of processed data, said post processing engine" as stated above. And Chen further teaches Juvinal regarding "an N-way symmetric multi-processing system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1." It is the combination of Juvinal and Chen that teaches all the limitation of claim 1. The motivation to combine Juvinal and Chen is that Chen help further clarify the design of this kind of processing system with a draw diagram already. Chen further states that this design of processing will provide the optimal use of computation (Chen. Column 7 lines 15-20) as well as performing two simultaneous 2D cross correlations of data sets (Chen. Column 8 lines 7-10).

- Claim 9 and its dependent claims 10-16 are rejected over Juvinal in view of Chen for the same reasons as is claim 1 as stated above.

Claim Rejections – 35 USC 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Juvinal (US Patent Number 5,214,713. IDS) in view of Chen et al (US Patent Number 5,535,288).

(1) Regarding claim 1:

Juvinal teaches the following subject matter:

an image processing engine (Figure 1 disclose a whole system that does image processing, column 2 lines 10-40 disclose image processing computers) adapted to perform object-independent processing (figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the bottle for processing) corresponding to a first layer of the image processing system (figure 1 is seen as the first lay of the image processing system, which is the hardware to obtain the image data) to generate a first set of processed data (figure 2 disclose bottle-cap for image processing, this is first set of data that is obtain from the first layer image processing system for processing), said image processing engine (Figure 1 disclose a whole system that does image processing, column 2 lines 10-40 disclose image

processing computers) further adapted to include a plurality of processors each associated with a different one of pixels of the image frame (column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, column 2 lines 60-67 disclose where each of the processor receives and operates on one pixel data at a time, thus this is seen as processing a different pixel of the image); and a post processing engine (column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, this is the post processing engine) adapted to directly receive the first set of processed data (column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, where we can see that this array of processor than process the first set of data that is given to them by the master computer that control the control data buses) and to perform object-dependent processing (figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the bottle for processing) corresponding to a second processing layer (Figure 6 is second processing layer, which are the array of one-bit processors) of the image processing system on the received first set of processed data thereby to generate a second set of processed data (column 2 lines 65-68 discloses where the data is process by the array of processor and return the process data to memory, column 4 lines 55-65 discloses where the data is use for image comparison), said post processing engine (column 2 lines 50-55 further disclose a plurality of one-bit data processor configure in an array, this is the post processing engine)

Juvinall does not disclose the said post processing engine further adapted to include an N-way symmetric multi-processing system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1; post-processing engine in detail, wherein, Chen et al further provide the details for the post-processing engine.

However, Chen et al disclose include an N-way symmetric multi-processing system (SMP) (figure 6 discloses a symmetric processing system, where the symmetry is of $N=2$, therefore symmetric) having disposed therein N DFT engines (Figure 6 step 2 discloses the symmetric DFT engines) and N matrix multiplication engines (Figure 6 discloses the matrix of multiplication of engines, where the engines are of combining engines, DFT rows, DFT columns, split and multiplying engines in the whole system); wherein N is an integer greater than 1."

It would have been obvious to one skill in the art at the time of the invention to employ Chen et al teachings to Juvinall to clarify the design of the post-processing engine. In doing so, the design structure of this post-processing engine provides the optimal use of computation (column 7 lines 15-20) as well as performing two simultaneous 2-dimensional cross correlations of data sets (column 8 lines 7-10).

(2) Regarding claim 2:

Juvinall further disclose the plurality of processors of the image processing engine form a massively parallel processing system (94-96 figure 5, column 7 lines 53-67 to column 8 lines 1-24. The parallel processing system is describe.).

(3) Regarding claim 3:

Juvinall further disclose the massively parallel processing system is a systolic array type massively parallel processing system (84-86 figure 4, 94-96 figure 5, column 2 lines 55-60. Where the system is in a systolic array matrix type format.).

(4) Regarding claim 4:

Juvinall further disclose the systolic array type massively parallel processing system is configured as a single-instruction multiple-data system (column 2 lines 60-67, column 3 lines 45-63. Single-instruction system is describe.).

(5) Regarding claim 5:

Juvinall further disclose each of the plurality of the processors is further adapted to perform a unified and symmetric processing of N dimensions in space and one dimension in time (column 9 lines 3-45, column 9 lines 24-30. A dimension for the image format is described as being process one after another, suggesting the passage of time.).

(6) Regarding claim 6:

Juvinall further disclose an image capturing block (48 figure 1, 48 figure 3, 76 figure 4, column 1 lines 29-33, column 2 lines 46-49, column 1 lines 66-67, column 10 lines 3-24. A camera and/or CCD are presented in the invention.).

(7) Regarding claim 7:

Juvinall further disclose the plurality of processors are formed on a first semiconductor substrate different from a second semiconductor substrate on which the image capturing block is formed (column 6 lines 64-67 to column 7 lines 1-17. CCD and/or camera is couple to the interface that has the plurality of processor, thus they are all on different substrates.).

(8) Regarding claim 8:

Juvinall further disclose a realignment buffer adapted to realign the data received from first and second analog-to-digital converters disposed in the image capturing block (column 8 lines 48-67 to column 9 lines 1-21. When the systolic array of processors request data, the main processor will alignment the buffer with data using FIFO method to feed the data to the processor matrix.).

(9) Regarding claim 9:

Juvinall teaches the following subject matter:

performing object-independent processing (figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the bottle for processing) corresponding to a first image processing layer (figure 1 is seen as the first lay of the image processing system, which is the hardware to obtain the image data) to generate a first set of

processed data (figure 2 disclose bottle-cap for image processing, this is first set of data that is obtain from the first layer image processing system for processing);

supplying the first set of processed data (figure 2 disclose bottle-cap for image processing, this is first set of data that is obtain from the first layer image processing system for processing) directly to a second processing layer (Figure 6 is second processing layer, which are the array of one-bit processors); performing object-dependent processing (figure 2 discloses that the object of interest for processing is that of the bottle-cap, where is seen independent of the bottle for processing) corresponding to the second processing layer (Figure 6 is second processing layer, which are the array of one-bit processors) on the received first set of processed data (figure 2 disclose bottle-cap for image processing, this is first set of data that is obtain from the first layer image processing system for processing) thereby to generate a second set of processed data (column 2 lines 65-68 discloses where the data is process by the array of processor and return the process data to memory, column 4 lines 55-65 discloses where the data is use for image comparison).

Juvinall does not disclose the post processing engine further adapted to include an N-way symmetric multi-processing system (SMP) having disposed therein N DFT engines and N matrix multiplication engines; wherein N is an integer greater than 1.

However, Chen et al disclose include an N-way symmetric multi-processing system (SMP) (figure 6 discloses a symmetric processing system, where the symmetry is of $N=2$, therefore symmetric) having disposed therein N DFT engines (Figure 6 step 2 discloses the symmetric DFT engines) and N matrix multiplication engines (Figure 6 discloses the matrix of multiplication of engines, where the engines are of combining engines, DFT rows, DFT columns, split and multiplying engines in the whole system); wherein N is an integer greater than 1."

It would have been obvious to one skill in the art at the time of the invention to employ Chen et al teachings to Juvinall to clarify the design of the post-processing engine. In doing so, the design structure of this post-processing engine provides the optimal use of computation (column 7 lines 15-20) as well as performing two simultaneous 2-dimensional cross correlations of data sets (column 8 lines 7-10).

(10) Regarding claim 10:

Juvinall further disclose performing object independent processing by a plurality of processors that form a massively parallel processing system (94-96 figure 5, column 7 lines 53-67 to column 8 lines 1-24. The parallel processing system is describe.).

(11) Regarding claim 11:

Juvinall further disclose the massively parallel processing system is a systolic array type massively parallel processing system (84-86 figure 4, 94-96

figure 5, column 2 lines 55-60. Where the system is in a systolic array matrix type format).

(12) Regarding claim 12:

Juvinall further disclose configuring the systolic array massively parallel processing system as a single-instruction multiple-data system (column 2 lines 60-67, column 3 lines 45-63. Single-instruction system is describe.).

(13) Regarding claim 13:

Juvinall further disclose each of the plurality of the processors is further adapted to perform a unified and symmetric processing of N dimensions in space and one dimension in time (column 9 lines 3-45, column 9 lines 24-30. A dimension for the image format is described as being process one after another, suggesting the passage of time.).

(14) Regarding claim 14:

Juvinall further disclose capturing the image frame on a first semiconductor substrate that is different from a second semiconductor substrate on which the plurality of processors are formed (column 6 lines 64-67 to column 7 lines 1-17. CCD and/or camera is couple to the interface that has the plurality of processor, thus they are all on different substrates).

(15) Regarding claim 15:

Juvinall further disclose converting analog data corresponding to the image frame to digital data; and realigning the converted digital data (column 8 lines 48-67 to column 9 lines 1-21. When the systolic array of processors request

data, the main processor will alignment the buffer with data using FIFO method to feed the data to the processor matrix. The inherit function of the CCD is to convert analog single to that of digital data in order for the processors to process it.).

(16) Regarding claims 16 and 17:

Juvinall further teaches performing object composition (figure 2 disclose a bottle-cap itself, this is seen as the objection composition in the field of view), recognition (column 10 lines 3-25 disclose where the image data is selected from memory to be processing, where the reason for selected data is to separate the data that is only concern the object that is recognize for processing) and association corresponding to a third processing layer (column 4 lines 55-65 disclose where the process data is than compare, the comparing process is seen as the third processing layer).

Conclusion

3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not

Art Unit: 2624

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Pechanek et al (US Patent Number 6,405,185 B1) disclose massively parallel array processor.

Wilkinson et al (US Patent Number 5,713,037) disclose slide bus communication functions for SIMD/MIMD array processor.

Coldren et al (US Patent Number 4,707,647) disclose gray scale vision method and system utilizing same.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tsung-Yin Tsai whose telephone number is (571) 270-1671. The examiner can normally be reached on Monday - Friday 8 am - 5 pm ESP.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jingge Wu can be reached on (571)272-7429. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2624

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Tsung-Yin Tsai
July 12, 2007



JINGGE WU
SUPERVISORY PATENT EXAMINER